



公司註冊處
COMPANIES REGISTRY

STATEMENT OF DISCIPLINARY ACTION

ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING ORDINANCE (Chapter 615)

Pursuant to section 53Z of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (“AMLO”), disciplinary action set out below has been taken by the Registrar of Companies (“the Registrar”) against the following trust or company service provider licensee:

Licence No.	TC001017
Name of Licensee	HONG KONG ACCOUNTING TAX LIMITED
Contravention	<p>(1) Contravention of section 5(1) of Schedule 2 to the AMLO, namely, the Licensee has failed to continuously monitor the business relationship with a customer by reviewing from time to time documents, data and information relating to the customer that have been obtained by the Licensee for the purpose of conducting customer due diligence to ensure that they are up-to-date and relevant.</p> <p>(2) Contravention of section 19(1) of Schedule 2 to the AMLO, namely, the Licensee has failed to establish and maintain effective procedures for determining whether a customer or a beneficial owner of a customer is a politically exposed person.</p> <p>(3) Contravention of section 20 of Schedule 2 to the AMLO on the record-keeping requirements.</p> <p>(4) Contravention of section 23 of Schedule 2 to the AMLO, namely, the Licensee has failed to take all reasonable measures to mitigate money laundering and terrorist financing risks.</p>
Date of Decision	31 May 2023
Disciplinary Action Taken	Public reprimand and imposition of a pecuniary penalty of HK\$10,000

***** END *****